"Express Mail" Label No. EV 375 768 415 US
Date of Deposit January 30, 2004

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Address" service under 37 CFR 1.10 on the date indicated above and is addressed to:

ina & Me Heill

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Atty Docket No.: A5152D5-T39550 Client Ref. No. 005152 D5 TTC No. 016301-039550US

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Canfeng Lai, et al.

Application No.: Not yet assigned

Filed: Herewith

For: MULTI-CORE TRANSFORMER

PLASMA SOURCE

Customer No. 20350

Examiner:

Not yet assigned

Art Unit:

Not yet assigned

INFORMATION DISCLOSURE

STATEMENT UNDER 37 CFR §1.97 and

§1.98

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. This application is a divisional application of and relies on U.S. Appl. No. 09/839,360, filed on April 20, 2001 (the "parent application") for an earlier effective filing date under 35 U.S.C. § 120. All of the references were submitted to or by the U.S. Patent and Trademark Office in the parent application. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

**PATENT** 

representation is being made that a search has been conducted or that this statement encompasses all

the possible relevant information.

Applicant believes that <u>no fee is required</u> for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Patrick M. Boucher Reg. No. 44,037

TOWNSEND and TOWNSEND and CREW LLP Telephone (303) 571-4000 Fax (303) 571-4321 PMB/nlm 60129337 v1

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR			Attorney Docket No.: A5152D5/T39550 Application No.: Unassigned			
APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		Applicant: CANFENG LAI et al.				
			Filing Date: Herewith		Group: Unassigned	
Reference Desig	nation	1	U.S. PATENT DOCUM	ENTS		Page 1
Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date (If Appropriate)
AA	3,291,715	12/13/66	G.S. Anderson	204	298	(, pp. op
AB	4,086,506	04/1978	Kustom et al.	310	74	
AC	4,277,306	07/1981	Ohkawa	176	9	
AD	4,431,898	02/1984	Reinberg et al.	219	121	
AE	4,585,986	04/1986	Dyer	323	271	
AF ·	4,666,734	05/1987	Kamiya et al.	427	39	
AG	4,713,208	12/1987	Furth et al.	376	137	
AH	4,859,399	08/1989	Bussard	376	133	
AI	4,863,671	09/1989	Okada	376	143	
AJ	4,868,919	09/1989	Tanaka et al.	355	27	
AK	5,014,321	05/1991	Klein	381	111	
AL	5,041,760	08/1991	Koloc	315	111.41	
AM	5,174,875	12/1992	Hurwitt et al.	204	192.12	
AN	5,290,382	03/01/94	Zarowin et al.	156	345	
AO	5,464,476	11/07/95	Gibb et al.	118	723 MP	-
AP	5,505,780	04/1996	Dalvie et al.	118	723	
AQ	5,514,246	05/1996	Blalock	156	643.1	
AR	5,560,776	10/01/96	Sugai et al.	118	723 AN	
AS	5,591,493	01/07/97	Paranjpe et al.	427	569	
AT	5,619,103	04/08/97	Tobin et al.	315	111.21	
AU	5,632,869	05/1997	Hurwitt et al.	204	192.12	
AV	5,811,022	09/1998	Savas et al.	216	68	
AW	5,939,886	08/1999	Turner et al.	324	464	
AX	5,998,933	12/07/99	Shun'ko	315	111.51	
AY	6,164,240	12/26/00	Nikulin	118	723 I	
AZ	6,392,351	05/02	Scun'ko	315	111.51	
BA	6,486,431	11/02	Smith et al.	219	121.57	
BB	6,432,260	08/02	Mahoney et al.	156	345.35	
BC	09/246,036		Chandran et al.			02/1999
		FOR	EIGN PATENT DOCU	JMENTS		<u> </u>

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Attorney Docket 1	No.: A5152D5/T39550	Application	No.: Unassigned
			Applicant: CANFENG LAI et al.			
		Filing Date: Herewith		Group: Unassigned		
						(Yes/No)
BD	WO 90/10945	09/20/90	WIPO	H01J	27/16	yes
BE	WO 99/00823	01/07/99	WIPO	H01J	37/32	yes
BF	JP 2-260399	10/23/90	Japan	Н05Н	1/46	yes (abstract only)
BG	JP 5-166595	07/02/93	Japan	Н05Н	1/46	yes (abstract only)
BH	JP 5-144594	06/11/93	Japan	Н05Н	1/46	yes (abstract only)

•

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR	Attorney Docket No.: A5152D5/T39550	Application No.: Unassigned						
APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Applicant: CANFENG LAI et al.							
	Filing Date: Herewith	Group: Unassigned						
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
BI S.O. Dean et al., "Status and Object (1974) (available from the Supering	S.O. Dean et al., "Status and Objectives of Tokamak Systems for Fusion Research," <i>USERDA Report WASH-1295</i> , (1974) (available from the Superintendent of Documents, U.S. Government Printing Office) pp. i-106.							
BJ H.P. Furth, "Tokamak Research,"	H.P. Furth, "Tokamak Research," Nuclear Fusion, 15, pp. 487-534 (1975).							
BK H.U. Eckert, "Induction Plasmas a	H.U. Eckert, "Induction Plasmas at Low Frequencies," AIAA Journal, Vol. 9, No. 8, pp. 1452-1456 (August 1971).							
BL M.O. Hagler et al., "An Introduction Publication Data, pp. 59-61 and 1	M.O. Hagler et al., "An Introduction to Controlled Thermonuclear Fusion," Library of Congress Cataloging in Publication Data, pp. 59-61 and 178 (1977).							
BM E. Kändler et al., "Characterization Coatings Technology, 74-75, pp. 5	E. Kändler et al., "Characterization of Plasma in an Inductively Coupled High-Dense Plasma Source," Surface and Coatings Technology, 74-75, pp. 539-545 (1995).							
		·						
EXAMINER	DATE CONSIDERED							

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

60129337 v1

. 4